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Page 1 of 1

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/058,571	01/28/2002	Matthew W. Holtcamp	2002U002.US

25959  
UNIVATION TECHNOLOGIES LLC  
5555 SAN FELIPE SUITE 1950  
HOUSTON, TX 77056

CONFIRMATION NO. 4310

FORMALITIES LETTER



\*OC000000007681140\*

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2002 SMINASS1 00000056 500589 10058571

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/058,571	
	Filing Date	January 28, 2002	
	First Named Inventor	Matthew W. Holtcamp	
	Group Art Unit	1755	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	2002U002.US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Preliminary Amendment / Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Documents)	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Declaration
<input checked="" type="checkbox"/> Response to Missing Part/Incomplete Application	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Return Postcard
<input checked="" type="checkbox"/> Response to Missing Parts		

REMARKS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

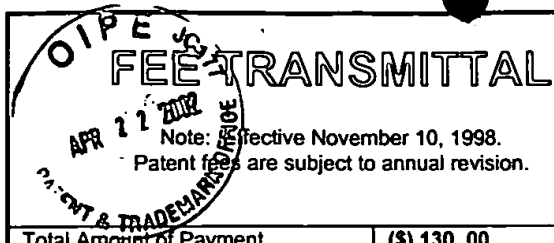
Firm Or Individual name	Lisa Kimes Jones	Registration No.	41,878
Signature			
Date	April 11, 2002		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on this date:

April 11, 2002

Typed or printed name	Tammy L. Hodges		
Signature		Date	April 11, 2002



Complete if Known	
Application Number	10/058,571
Filing Date	January 28, 2002
Inventors	Matthew W. Holtcamp
Group Art Unit	1755
Examiner Name	Unassigned
Attorney Docket Number	2002U002.US

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Total Amount of Payment (\$)**130.00**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1. FILING FEE**

Large Entity Fee Code	Fee Description	Fee Paid
101 740	Utility filing fee	
106 330	Design filing fee	
107 510	Plant filing fee	
108 740	Reissue filing fee	
114 160	Provisional filing fee	

**SUBTOTAL (1)**

**2. CLAIMS** Extra Fee from below Fee Paid

Total Claims  - 20 =  x **18** = .00  
Independent Claims  - 3 =  x **84** = .00  
Multiple Dependent Claims  0 x **280.00** = .00

**Large Entity**

Fee Code	Fee\$	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	<u>130.00</u>
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
148	110	Statutory disclaimer	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

130.00

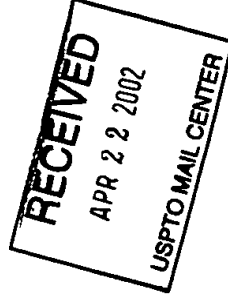
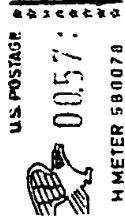
**SUBTOTAL (3)**

Typed or Printed Name	<b>Lisa Kimer Jones</b>	Reg. No.	<b>41,878</b>
Signature		Date	<b>April 11, 2002</b>
		Deposit Account User ID	



5555 San Felipe, 19th Floor  
Houston, Texas 77056

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Assistant Commissioner for Patents  
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EXXPOL AND UNIPOL